

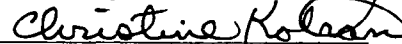
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IAP9 Rec'd PCT/PTO 24 AUG 2006

EXPRESS MAIL CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" label number: EV713319171US

Date of deposit: August 24, 2006

I hereby certify that the paper(s) identified above, and any document(s) referred to as attached hereto, is being deposited with the United States Postal Service on the date indicated in an envelope as "Express Mail Post Office to Addressee" and is addressed: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

  
Christine Kotran

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Sabine Balthasar, et al.  
Serial No. :  
Filing Date : Herewith  
Examiner / Group Art Unit :  
Title : Carrier System in the Form of Protein-Based Nanoparticles for the Cell-Specific Enrichment of Pharmaceutically Active Substances (as amended per the preliminary amendment)  
Attorney File : RO4304US (#90568)

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR 1.97**

Mail Stop PCT  
Commissioner for Patents  
P O Box 1450  
Alexandria, Virginia, 22313-1450

Dear Sir,

Under the provision of 37 C.F.R. 1.56, 1.97 and 1.98, Applicant would like to call the Examiner's attention to the references listed on the attached Form PTO-1449. While copies of U.S. patents or published applications are not required, a copy of U.S. Pub. No. 2004/0131692 A1 is enclosed because it, along with the copy of WO 02/089776 A1, corresponds to the cited published EP 1 392 255 A1.

In accordance with 37 C.F.R. 1.97(b)(1), and as this Information Disclosure Statement is being filed with the application, the Statement is submitted before the issuance of a first Official Action on this case.

In accordance with 37 C.F.R. 1.97(g) and 37 C.F.R. 1.97(h), filing of this Information disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.W./

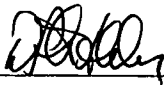
10/02/2009

defined in 34 C.F.R. 1.56(b).

The Examiner is requested to make the attached references of record and to consider these references along with such other references deemed pertinent as a result of the Examiner's own independent search and examination.

Respectfully submitted,

Date: August 22, 2006

  
D. Peter Hochberg  
Reg. No. 24,603

D. Peter Hochberg Co., L.P.A.  
1940 E. 6<sup>th</sup> Street - 6<sup>th</sup> Floor  
Cleveland, OH 44114  
(216) 771-3800  
DPH/SM

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FORM PTO-1449

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Applicant : Sabine Balthasar, et al.

Serial No. :

Filing Date : Herewith

Examiner :

Group Art Unit:

Title : Carrier System in the Form of Protein-Based Nanoparticles for  
the Cell-Specific Enrichment of Pharmaceutically Active  
Substances (as amended herein)

Attorney File : RO4304US (#90568)

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Commissioner for Patents  
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Alexandria, Virginia, 22313-1450

U S PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name
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U.S. Published Applications

Examiner Initial	Document Number	Date	Name
_____	2004/0131692	7/8/2004	Kreuter, et al. **

Foreign Patent Documents

Examiner Initial	Document Number	Date	Country	Translation (Yes/No)
_____	WO 02/089776	11/14/2002	WO	No **
_____	EP 1392255	3/3/2004	EPO	No **

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10/02/2009

Other Prior Art (Inc. Author, Title, Date, Pertinent Pages, Etc)

Examiner

Initial

Document

Examiner

/Thurman Wheeler/

Date Considered:

10/02/2009

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant

\*\* - denotes corresponding references